

**CUSTOMER DUE DILIGENCE:**

**SOLE TRADER ~ UNINCORPORATED BODY ~ FIRM**  
**~ REGULATED ~**

<b>NAME OF CUSTOMER:</b>	
<b>ADDRESS:</b>	<hr/> <hr/> <hr/>
<b>TEL:</b>	
<b>FAX:</b>	
<b>EMAIL:</b>	
<b>WEBSITE:</b>	

**NAME OF EACH PARTNER:**

<b>PARTNER:</b>	
<b>ADDRESS:</b>	<hr/> <hr/> <hr/>

<b>PARTNER:</b>	
<b>ADDRESS:</b>	<hr/> <hr/> <hr/>

<b>PARTNER:</b>	
<b>ADDRESS:</b>	<hr/> <hr/> <hr/>

**Are you a Regulated Person/Body:**       Yes       No

**If yes, State which Body you are a Member of:** \_\_\_\_\_

**Membership No:** \_\_\_\_\_

**Do you obtain Due Diligence in respect of Corporate Services you ask us to Provide or arrange:**

Yes       No

**Do you undertake to release such Due Diligence to us in the event of any enquiry or request from us.**

Yes       No

**I confirm above to be True and Correct**

**Signed:** \_\_\_\_\_  
Sole Trader/Managing Partner

**Date:** \_\_\_\_\_

\_\_\_\_\_  
**Print Name**